



**BARODA PIONEER
SUPER LEAGUE**

BARODA PIONEER MUTUAL FUND



2016-17

It's time to rise above the rest. Again

Fund Name	Existing Cat Bonus points	Fresh Mobilisation points / Lac	Criteria
Baroda Pioneer ELSS'96	Champion 35 Challenger 20 Emerging Star 10	2.00	On Net Sales
Baroda Pioneer Balance Fund, Baroda Pioneer Banking & Financial Services Fund		1.25	
Baroda Pioneer Growth Fund, Baroda Pioneer Infrastructure Fund, Baroda Pioneer PSU Equity Fund		1.00	
Baroda Pioneer Credit Opporuntities Fund		0.85	On Average Assets maintained during the year
Baroda Pioneer MIP Fund, Baroda Pioneer Gilt Fund, Baroda Pioneer Dynamic Bond Fund, Baroda Pioneer Income Fund.		0.75	
Baroda Pioneer Short Term Bond Fund		0.50	
Baroda Pioneer Treasury Advantage Fund & Baroda Pioneer Liquid Fund		0.10	

SIP /STP Amount	No of SIP	Point	Criteria
1000- 2999	10	1	SIP for Minimum duration of 3 yrs
3000-4999	4	1	
5000-9999	2	1	
10000 & additional 1 point for every multiple of 10000 thereafter	1	1	

Club	AUM
Emerging Star	> 25 Lakhs < 1 Crs
Challenger	> 1 crores < 5 Crs
Champion	> 5 crores

AAUM as on 31st March 2016

Baroda Pioneer Super League Points	Recognition Plan
350	International Convention (Norway)*
150	ASIA CRUISE*
100	Local Vouchers worth Rs. 40,000/-
50	Local Vouchers worth Rs. 15,000/-
25	Local Vouchers worth Rs. 5000/-

* Or equivalent

Asset Mix Bonus points on the AAUM*

Equity	Debt	Bonus points
50%	50%	10
75%	25%	20
90%	10%	35

* Bonus points are only applicable over a minimum score of 125 points

* For earning Bonus point's Equity means any Equity scheme. Debt Means any debt fund other than liquid & TAF.

Terms & Conditions

- Only valid ARN holders & KYD Registered IFAs empanelled with Baroda Pioneer Asset Management Company Ltd. ("BPAMC") are eligible to participate in the Baroda Pioneer Super League ("BPSL")
- The contest is valid for the period (1st April 16 - 31st March 2017).
- Distributors will be eligible for rewards/benefits only under one category
- In case of Multiple ARNs, Each ARN will be considered separately and business will not be clubbed for deciding eligibility for BPSL
- BPAMC reserves the right to add or reject any BPSL Membership
- BPSL is subject to all rules & regulations of AMFI & SEBI issued from time to time.
- BPAMC will consider only those points which are calculated as per the weightages assigned.
- BPAMC reserves the right to withdraw/ modify the contest specifications any time and entirely at its discretion, including addition / deletion of schemes and the weightages to be assigned, rewards and benefits etc and the same shall be binding on the participants.
- The maximum application size that would be considered for contest shall be: (a) INR 2 crores for all equity (excluding ELSS) and hybrid schemes (b) INR 25 lacs for ELSS (c) INR 5 crores for all eligible debt funds excluding TAF and (d) INR 10 crores for TAF. The maximum application size will be determined at PAN level across different ARNs. Investments split for the purpose will be ignored and the maximum size limit as defined above will be applied for the purpose of determining the points.
- Only valid application forms complete in all respects shall be eligible for the contest. Applications rejected by the R&T will not be included for the purpose of contest, unless the same is rectified and submitted within the contest period
- The points will be calculated on net sales basis (except where specifically mentioned as average assets basis) and the investment should be made for a minimum of 6 months failing which the distributor shall stand liable for a recovery by BPAMC. All redemptions / switch outs will attract the applicable exit load and the claw back of brokerage as per the brokerage structure from time to time. If the SIP is discontinued / cancelled / terminated any time before 3 years, then the proportionate cost will be clawed back from future payments.
- Destinations & events specified are subject to the discretion and procurement of valid visas by the eligible ARN holders. BPAMC would not take any responsibility for visa confirmation or availability. In accordance with the clause 4(d) of SEBI Circular No. SEBI/IMD/CIR No. 4/16823/09 dated June 30, 2009, distributors shall disclose all the commissions (in the form of trail commission or any other mode) payable to them for the different competing scheme of various mutual funds from amongst which the scheme of BPFM is being recommended to the investors. Distributors shall ensure specific compliance with this requirement.
- The value of this contest to the Individual Distributor will be part of Commission disclosure on BPAMC / AMFI portal and on the account statements as mandated by SEBI.
- In accordance with the clause A 2 (a) of SEBI Circular No. SEBI/HO/IMD/DF2/CIR/P/2016/42 dated March 18, 2016, AMC shall disclose the value of this contest to the Individual Distributor as part of the Commission disclosure on BPAMC / AMFI portal and on the account statements as mandated by SEBI.
- The distributors shall adhere to the SEBI circulars dated June 26, 2002 and August 27, 2009 on Code of Conduct, SEBI (Mutual Funds). Regulations, 1996 as amended from time to time and the applicable AMFI best practice guidelines. The distributors shall ensure that no rebate is given to investors in any form. Any violation in this regard will not be entertained and the distributor will be disqualified to participate in the contest. Decision of BPAMC will be final and binding on the distributor.
- The distributors/advisors shall not issue any sales, marketing, promotional or any other literature/material (such as leaflets, posters, banners, etc.) relating to BPFM and/or its schemes without prior approval from BPAMC.
- The distributors/advisors shall ensure that the Scheme Information Documents and Statement of Additional Information of BPFM are readily available to their clients.
- Any NFO launched during the period may be included in the contest by BPAMC at its discretion The criteria for determining points will be declared at the time of the launch of the new product.
- SIPs in the equity funds and switches from liquid fund into equity funds will only be considered for the contest, however STP from liquid fund to equity funds will be eligible for the contest.
- The points cannot be carried forward to the next contest by the Distributors should they wish not to avail any benefit as per this contest. However, the Champion Club Members are exempted from this condition and they can carry forward their points, should they wish to forego their eligibility determined at the end of the contest. In such circumstances, they agree to abide by the terms and conditions of the next contest launched if any, by the BPAMC
- The prizes are non - transferable and cannot be encashed. They are meant for qualified ARN holders only. In no manner whatsoever, can the prizes be provided or transferred to any of the investors / clients / any other person recommended by the ARN holder.
- The Formula for computing points is based on the quantum of business procured and the weight assigned to respective schemes. For e.g. if the net mobilization of an ARN holder during the contest period is INR 10 Lacs in Baroda Pioneer Growth Fund and INR 10 lac in Baroda Pioneer MIP Fund, the score card of points of such ARN holders is calculated as $[(1,000,000/100,000*1)+(1,000,000/100,000*0.75)]=17.5$ points.

BARODA PIONEER MUTUAL FUND



Baroda Pioneer Asset Management Company Limited

501, Titanium, 5th Floor, Western Express Highway, Goregaon (E), Mumbai - 400 063

Phone: 022-3074 1000 / 022-4219 7999, Fax: 022-3074 1001,

Email :info@barodapioneer.in CIN : U65991MH1992PLC069414